

08/15/01  
JC820 U.S. PTO

Attorney's Docket No. 15-978

Patent

## UTILITY PATENT APPLICATION TRANSMITTAL

The Assistant Commissioner for Patents  
**BOX PATENT APPLICATION**  
Washington, D.C. 20231

JC973 U.S. PTO  
09/930528  
08/15/01

- \_\_\_\_\_ This is a request for filing a new nonprovisional patent application.
- X This is a request for filing a nonprovisional patent application of pending prior U.S. Application No. 60/306,302, filed July 18, 2001, now provisional.

The inventor(s) of the invention being claimed in this application is (are):

JINGYU ZHOU, ALAN GLEN SOLHEIM, ROBERT AU-YANG, MARK STEPEHN WIGHT  
AND CHRISTIAN SCHEERER

The title of this application is:

Method for Engineering Connections in a Dynamically Reconfigurable Photonic Switched  
Network

Enclosed are:

- |           |   |
|-----------|---|
| <u>24</u> | Page(s) of Specification  |
| <u>9</u>  | Page(s) of <u>43</u> Claims   |
| <u>1</u>  | Page(s) of Abstract   |
| <u>6</u>  | Sheet(s) of Drawings  |
| <u>X</u>  | Assignment of the invention to Innovance Networks   |
| <u>X</u>  | Assignment Cover Sheet  |
| <u>X</u>  | Declaration and Power of Attorney for Patent Application  |
| <u>X</u>  | Other: Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(I)   |
| _____     | Priority of foreign application number _____ filed on _____ in _____ is claimed under 35 U.S.C. § 119(a) - (d). |

\_\_\_\_\_ The certified copy:

\_\_\_\_\_ is enclosed.

\_\_\_\_\_ was filed in prior application no. \_\_\_\_\_, filed \_\_\_\_\_.

EL391522210U8  
08/15/01  
J. Rando

The filing fee has been calculated as shown below:

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 C.F.R. § 1.16(c))	43	-20 = 23	x \$18.00 =	\$ 414.00
	INDEPENDENT CLAIMS (37 C.F.R. § 1.16(b))	9	-3 = 6	x \$80.00 =	\$ 480.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 C.F.R. § 1.16(d))			+ \$270.00	\$ 0.00
				BASIC FEE (37 C.F.R. § 1.16(a))	\$ 710.00
				Total of Above Calculations =	\$ 1604.00
	Reduction by 50% for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28).				802.00
				TOTAL =	\$ 802.00

- ☒ A small entity status is claimed under 37 C.F.R. §§ 1.9, 1.27 is claimed.
- ☒ A check is enclosed to cover the \$802.00 filing fee.
- ☐ A check is enclosed to cover the \$\_\_\_ petition fee under 37 C.F.R. § 1.17.
- ☒ A check is enclosed to cover the \$ 40.00 assignment recordation fee.
- ☐ Charge Deposit Account No. 23-0630 in the amount of \$\_\_\_.
- ☒ The Commissioner is hereby authorized to credit any overpayment or to charge any fees under 37 C.F.R. §§ 1.16, 1.17, 1.21 in connection with this communication to our Deposit Account No. 23-0630.
- ☒ The Commissioner is hereby authorized to credit any overpayment or to charge any fees under 37 C.F.R. §§ 1.16, 1.17 which may be required during the entire pendency of this application to our Deposit Account No. 23-0630.

7 X Please direct all correspondence in connection with this application to the following address:

WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A.  
1100 Superior Ave., Ste. 1750  
Cleveland, Ohio 44114

and please direct all telephone calls to Kenneth J. Smith at (216) 241-6700.

Respectfully submitted,



Date: August 15, 2001

Kenneth J. Smith  
Registration No. 45,115

WATTS, HOFFMANN, FISHER  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**NONPUBLICATION REQUEST  
UNDER  
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Zhou et al.

Title

Method for Engineering Connections  
in a Dynamically Reconfigurable  
Photonic Switched Network

Atty Docket Number

15-978

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 15, 2001

Date

Kenneth J. Smith

Signature

\_\_\_\_\_  
Typed or printed name

Kenneth J. Smith

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.